

Flavor structure in string models

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- 1 . Introduction
- 2 . Yukawa in heterotic orbifold models
3. Yukawa in intersecting D-brane models
- 4 . Discrete flavor symmetry
5. Summary

based on Ko, T.K. and Park, hep-ph/0406041, hep-ph/0502nnn
Kitazawa, T.K., Maru and Okada, hep-th/0406115
T.K., Raby and Zhang, hep-ph/0409098, work in progress
Higaki, Kitazawa, T.K. and Takahashi, work in progress

1 . Introduction

The origin of fermion masses and mixing angles is one of important issues in particle physics.

Fermion masses \leftarrow Yukawa couplings between fermions and Higgs fields
e.g. in the Standard Model

$O(1)$ of Yukawa couplings are in a sense natural.

From this viewpoint, how to derive suppressed Yukawa couplings is a key-point in understanding fermion masses.

Quark masses and mixing angles

$$\begin{array}{llll} M_t = 174 & \text{GeV}, & M_b = 4.3 & \text{GeV} \\ M_c = 1.2 & \text{GeV}, & M_s = 117 & \text{MeV} \\ M_u = 3 & \text{MeV}, & M_d = 6.8 & \text{MeV} \end{array}$$

$$V_{us} = 0.22, \quad V_{cb} = 0.04, \quad V_{ub} = 0.004$$

RG effects are not drastic in usual cases except
PR-fixed points or superconformal fixed points.

Lepton masses and mixing angles

$$M_e = 0.5 \quad \text{MeV}, \quad M_\mu = 106 \quad \text{MeV}$$

$$M_\tau = 1.8 \quad \text{GeV},$$

$$\Delta M_{21}^2 = 8 \times 10^{-5} \quad \text{eV}^2, \quad \Delta M_{31}^2 = 2 \times 10^{-3} \quad \text{eV}^2$$

$$\sin^2 \theta_{12} = 0.3, \quad \sin^2 \theta_{23} = 0.5, \quad \sin^2 \theta_{13} = 0.00,$$

RG effects are not drastic in usual cases except
PR-fixed points or superconformal fixed points.

String phenomenology

String theory promising candidate for unified theory
 including gravity

It is important to study flavor structure and Yukawa structure from string theories.

Superstring theory \rightarrow 4D space-time

+ 6D compact space

6D compact space \rightarrow Flavor (Yukawa) structure

String models (N=1 or 0 SUSY)

Hetero. on CY manifolds, orbifolds
fermionic const., Gepner...

Intersecting D-brane models
(Magnetized D-brane models)

Flavor structure from 6D compact space

6D compact space consistent with string theory

How to count the family number is well-known.

Flavor (Yukawa) structure

→ selection rule for allowed Yukawa couplings
calculations of their magnitude

flavor symmetry

→ Classify possible patterns of Yukawa matrices for quarks and leptons

Heterotic orbifold models and Intersecting D-brane models have similarities.

We study them parallel (and systematically).

Localized modes

Heterotic orbifold models and
Intersecting (magnetized) D-brane models
are interesting to derive suppressed Yukawa
couplings, because they have localized modes.

Heterotic orbifold models

gauge bosons \leftarrow 10D (bulk) modes

(untwisted) matter fields \leftarrow 10D (bulk) modes

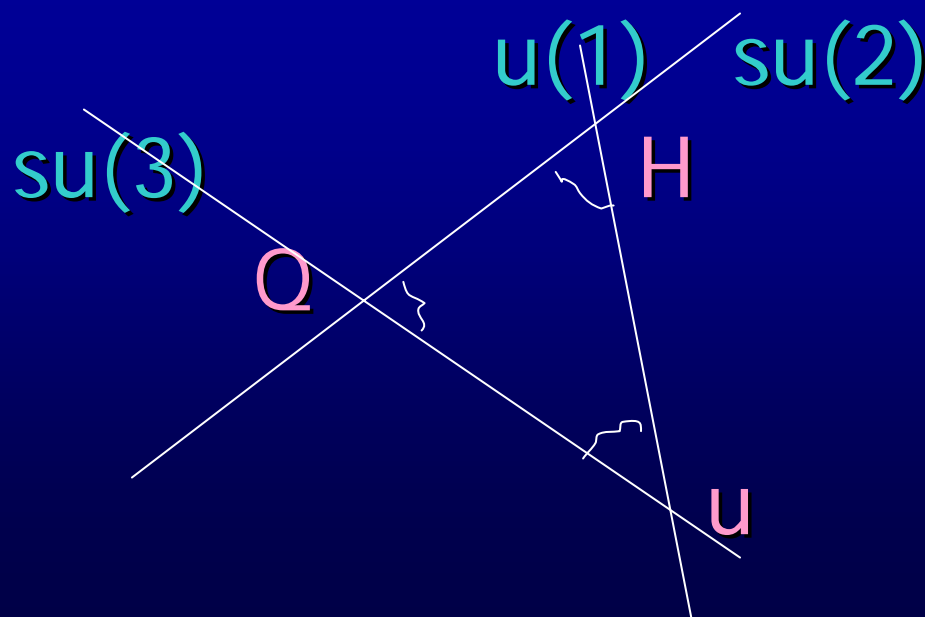
twisted matter fields \leftarrow 4D, 6D modes

on fixed points, torus

Intersecting D-brane models (D6 branes on orbifold)

gauge bosons: $(p+1)$ D modes on D-brane
(bi-fundamental)

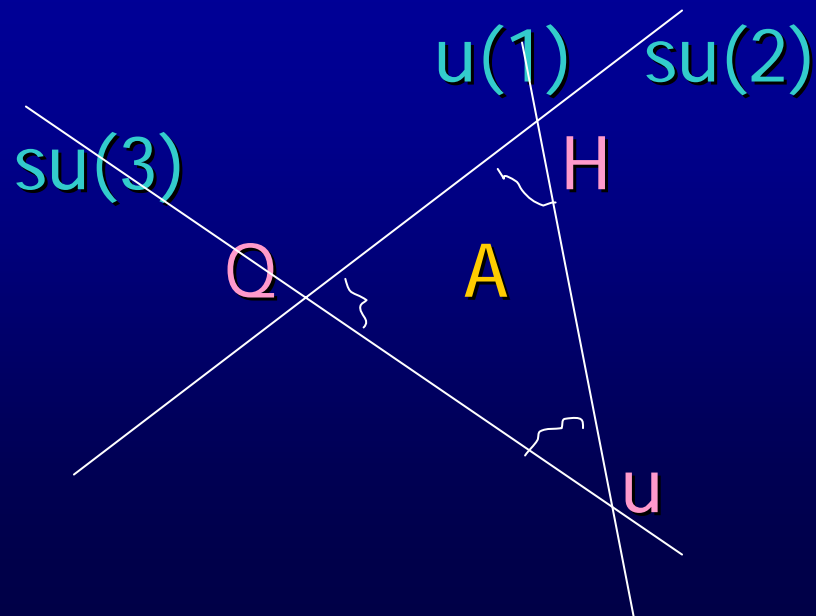
matter fields: modes localized at
intersecting points



Non-universal (suppressed) and/or moduli-dependent Yukawa couplings

e.g., intersecting D-brane models
hetero. models on orbifolds

$$Y = \exp(-A) \quad A: \text{area}$$



2. Yukawa in hetero. orbifolds

Dixon, et. al., '85

The number of 6D orbifolds is finite,

Z_3, Z_4, Z_6, \dots

(crystallographic) space group

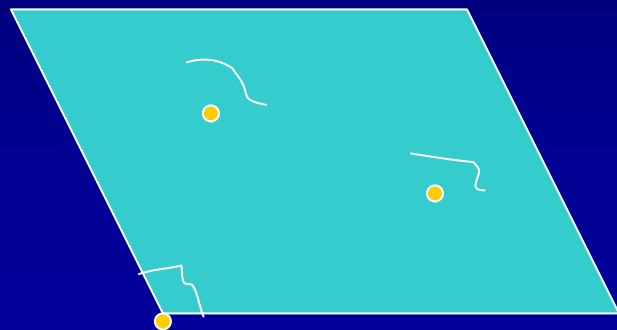
For an orbifold all of fixed points, where twisted string is localized, are known, and its number is finite.

We know selection rules for allowed Yukawa couplings, and their magnitudes.

In principle, systematic study is possible.

Heterotic orbifold models

Orbifold



Fixed points on 2D Z_3 orbifold

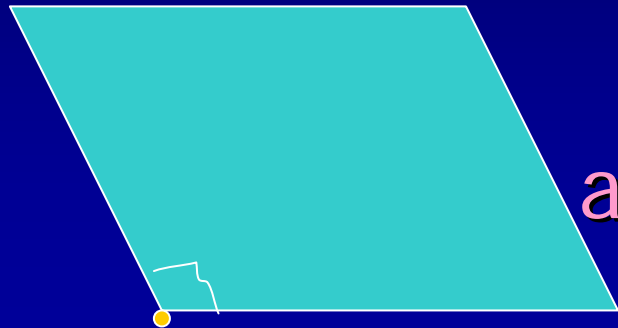
$(0,0)$, $(2/3,1/3)$, $(1/3,2/3)$ in $su(3)$ root basis

Twisted strings are associated with these fixed points.

The flavor structure and the selection rule are not so model-dependent compared with intersecting brane models, and these are determined by geometrical aspect when we fix orbifold.

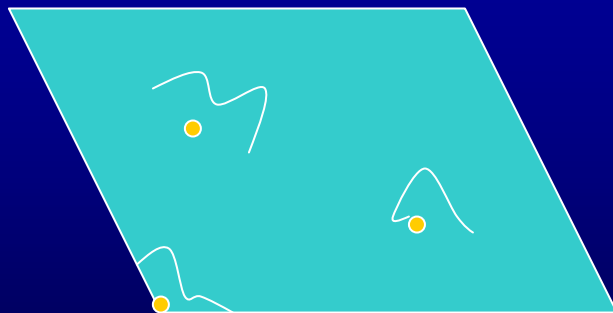
2D Z_6 orbifold

First twisted states T1



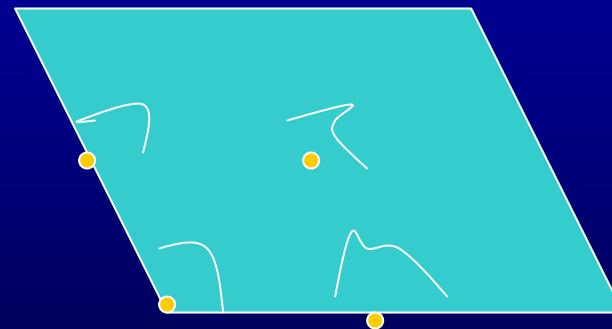
a single fixed point $(0,0)$

Second twisted states T2



$(0,0), (2/3, 1/3), (1/3, 2/3)$

third ones T3



$(0,0), (1/2, 0), (1/2, 1/2), (0, 1/2)$

6D orbifold

6D Z_3 orbifold = a product of 3 (2D Z_3)
27 fixed points

6D Z_6 -I orbifold =
a product of 2 (2D Z_6) and (2D Z_3)

T1 3 twisted states

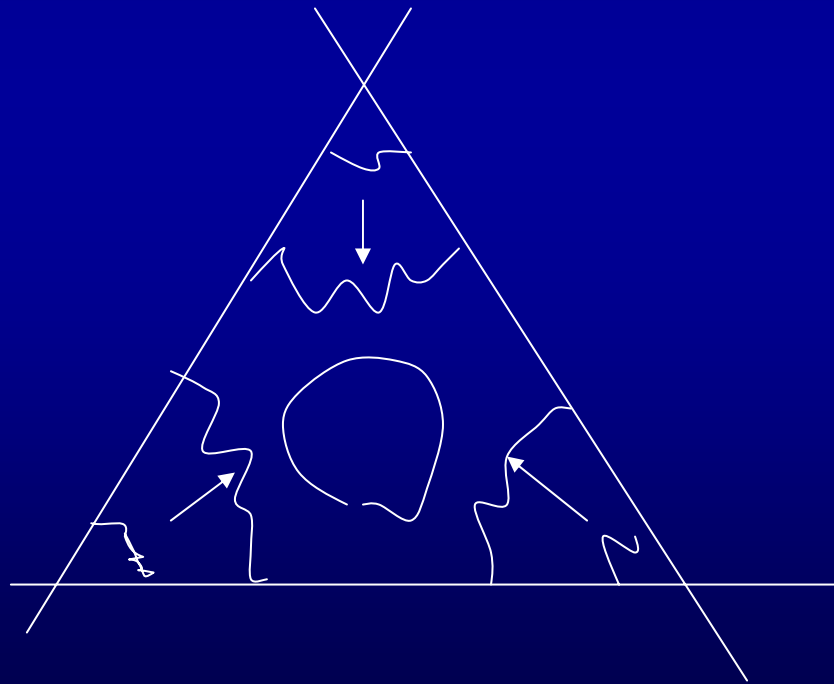
T2 27 twisted states

T3 16 twisted states

Coupling selection rule

In general, stringy selection rules are very tight, and it is not easy to derive off-diagonal couplings.

Yukawa coupling is allowed when three twisted strings are combined into a closed string.



Space group selection rule

$$X(\sigma = \pi) = \Theta X(\sigma = 0) + e$$

$$(\Theta, e)(\Theta', e')(\Theta'', e'') = (1, 0)$$

Conjugacy class

$$X + \Lambda = \Theta(X + \Lambda) + e$$

$$(\Theta, e) = (\Theta, e + (1 - \Theta)\Lambda)$$

In some case, only diagonal couplings are allowed, and in other case off-diagonal couplings are also allowed.

Space group selection rule

2D Z_3 Only T1 T1 T1 couplings are allowed.

$$f_k \quad k=0,1,2$$

$$i + j + k = 0 \pmod{3} \quad \text{diagonal}$$

We can not get non-vanishing mixing angles.

This corresponds to Z_3 symmetry, and its charge is equal to k .

2D Z_6 orbifold

Only T1 T2 T3 and T2 T2 T2 couplings are allowed.

Off-diagonal couplings are allowed for T1T2T3.

T2 T2 T2 couplings are diagonal.

For example, T1T1T1 couplings are not allowed.

Yukawa couplings on orbifold

CFT calculations → Dixon, et al '87, Hamidi, Vafa, '87,
 Burwick, et al '91, Casas, et al '93.....
 T.K., Lebedev '03

$\hat{T}_1 \hat{T}_2 \hat{T}_3$ couplings

$$Y = \exp[-\sqrt{3} / (4\pi) (f_2 - f_3)^T M (f_2 - f_3)]$$

$$M = \begin{pmatrix} (R_1)^2 & -3(R_1)^2/2 & 0 & 0 \\ -3(R_1)^2/2 & 3(R_1)^2 & 0 & 0 \\ 0 & 0 & (R_2)^2 & -3(R_2)^2/2 \\ 0 & 0 & -3(R_2)^2/2 & 3(R_2)^2 \end{pmatrix}$$

$\hat{T}_2 \hat{T}_2 \hat{T}_2$ couplings

$$Y = \exp[-\sqrt{3} / (16\pi) (f_2 - f_3)^T M (f_2 - f_3)]$$

Systematical study on Z6-I: Quark sector

Ko, T.K., Park '04

We study systematically all of possible assignments of 2nd and 3rd families to fixed points, assume one pair of up and down Higgs fields, examine allowed entries by the selection rule, try to fit the mass ratios m_c/m_t , m_s/m_b and **mixing angle V_{cb}** by varying R_1 and R_2 .

$$[m_c / m_t]_{\text{exp}} = 0.0038, \quad [m_s / m_b]_{\text{exp}} = 0.025$$

$$[V_{cb}]_{\text{exp}} = 0.041$$

Assignment 1

$$\begin{array}{cccc} Q_2, Q_3, & u_2, u_3 & d_2, d_3 & H_u, H_d \\ \hat{T}_2^{(2)}, \hat{T}_2^{(4)} & \hat{T}_3^{(3)}, \hat{T}_3^{(2)} & \hat{T}_3^{(1)}, \hat{T}_3^{(3)} & \hat{T}_1, \hat{T}_1 \\ T_1 = 27.8, & T_2 = 107 & & \end{array}$$

$$Y_u = \begin{pmatrix} 0.0416 & 0.718 \\ 0.0557 & 0.848 \end{pmatrix} \quad Y_d = \begin{pmatrix} 0.0313 & 0.0416 \\ 0.0370 & 0.0557 \end{pmatrix}$$

$$m_c / m_t = 0.0038, \quad m_s / m_b = 0.029, \quad V_{cb} = 0.041$$

There are several examples leading to similar results.

Assignment 2

$$Q_2, Q_3,$$

$$u_2, u_3$$

$$d_2, d_3$$

$$H_u, H_d$$

$$\hat{T}_3^{(2)}, \hat{T}_3^{(4)}$$

$$\hat{T}_2^{(3)}, \hat{T}_2^{(2)}$$

$$\hat{T}_2^{(1)}, \hat{T}_2^{(3)}$$

$$\hat{T}_1, \hat{T}_1$$

$$T_1 = 24.0,$$

$$T_2 = 150$$

$$Y_u = \begin{pmatrix} 0.0281 & 0.439 \\ 0.0371 & 0.665 \end{pmatrix}$$

$$Y_d = \begin{pmatrix} 0.0199 & 0.0281 \\ 0.0302 & 0.0371 \end{pmatrix}$$

$$m_c / m_t = 0.0038,$$

$$m_s / m_b = 0.032,$$

$$V_{cb} = 0.041$$

There are several examples leading to similar results.

Assignment 5

$Q_2, Q_3,$

u_2, u_3

d_2, d_3

H_u, H_d

$\hat{T}_2^{(2)}, \hat{T}_2^{(4)}$

$\hat{T}_2^{(2)}, \hat{T}_2^{(4)}$

$\hat{T}_2^{(3)}, \hat{T}_2^{(2)}$

$\hat{T}_2^{(4)}, \hat{T}_2^{(4)}$

$T_1 = 180,$

$T_2 = 180$

$$Y_u = \begin{pmatrix} 0 & 0.0309 \\ 0.0309 & 0.500 \end{pmatrix}$$

$$Y_d = \begin{pmatrix} 0.00132 & 0 \\ 0.0214 & 0.0309 \end{pmatrix}$$

$m_c / m_t = 0.0038,$

$m_s / m_b = 0.029,$

$V_{cb} = 0.041$

Results

We have found examples leading to a realistic mixing angle as well as mass ratios between the 2nd and 3rd families of quarks.

Our results is the first examples to show the possibilities for leading to realistic mixing angles by use of stringy renomalizable couplings with one pair of the up and down Higgs fields.

Results for three families of quarks are not good.

Systematical study on Z6-I: Lepton sector

Ko, T.K., Park '05

We study systematically all of possible assignments of three families to fixed points,

assume one pair of up and down Higgs fields,

examine allowed entries by the selection rule,

try to fit the lepton mass ratios and

mixing angles by varying R_1 and R_2 .

$$[m_e / m_\tau]_{\text{exp}} = 0.000288, \quad [m_\mu / m_\tau]_{\text{exp}} = 0.0595$$

$$[\Delta m_{31}^2 / \Delta m_{21}^2]_{\text{exp}} = 27, \quad [\sin^2 \theta_{12}]_{\text{exp}} = 0.30$$

$$[\sin^2 \theta_{23}]_{\text{exp}} = 0.50, \quad [\sin^2 \theta_{13}]_{\text{exp}} = 0.000$$

Dirac neutrino mass: Assignment 4

$$\begin{array}{cccc} L_1, L_2, L_3, & N_1, N_2, N_3 & e_1, e_2, e_3 & H_u, H_d \\ \hat{T}_2^{(2)}, \hat{T}_2^{(3)}, \hat{T}_2^{(4,-1)} & \hat{T}_2^{(2)}, \hat{T}_2^{(4,1)}, \hat{T}_2^{(4,-1)} & \hat{T}_3^{(1)}, \hat{T}_3^{(2)}, \hat{T}_3^{(4,1)} & \hat{T}_2^{(2)}, \hat{T}_1 \\ T_1 = 26, & T_2 = 21 & & \end{array}$$

$$\begin{array}{ccc} m_e / m_\tau = 0.0003, & m_\mu / m_\tau = 0.06, & \Delta m_{31}^2 / \Delta m_{21}^2 = 14, \\ \sin^2 \theta_{12} = 0.38, & \sin^2 \theta_{23} = 0.70, & \sin^2 \theta_{13} = 0.000, \end{array}$$

There are several examples leading to similar results, but smaller ratios of neutrino mass difference.

Seesaw scenario: Assignment 4

We assume the right-handed Majorana neutrino mass to be proportional to identity matrix for simplicity.

$$\begin{array}{cccc} L_1, L_2, L_3, & N_1, N_2, N_3 & e_1, e_2, e_3 & H_u, H_d \\ \hat{T}_2^{(2)}, \hat{T}_2^{(3)}, \hat{T}_2^{(4,1)} & \hat{T}_2^{(2)}, \hat{T}_2^{(3)}, \hat{T}_2^{(4,1)} & \hat{T}_3^{(1)}, \hat{T}_3^{(2)}, \hat{T}_3^{(4,1)} & \hat{T}_2^{(2)}, \hat{T}_1 \\ T_1 = 23, & T_2 = 26 & & \end{array}$$

$$\begin{array}{ccc} m_e / m_\tau = 0.0003, & m_\mu / m_\tau = 0.06, & \Delta m_{31}^2 / \Delta m_{21}^2 = 29, \\ \sin^2 \theta_{12} = 0.32, & \sin^2 \theta_{23} = 0.48, & \sin^2 \theta_{13} = 0.000, \end{array}$$

There are several examples leading to similar results.

Results

We have found examples leading to a realistic values of charged lepton mass ratios, neutrino mass difference ratio and mixing angles for three families.

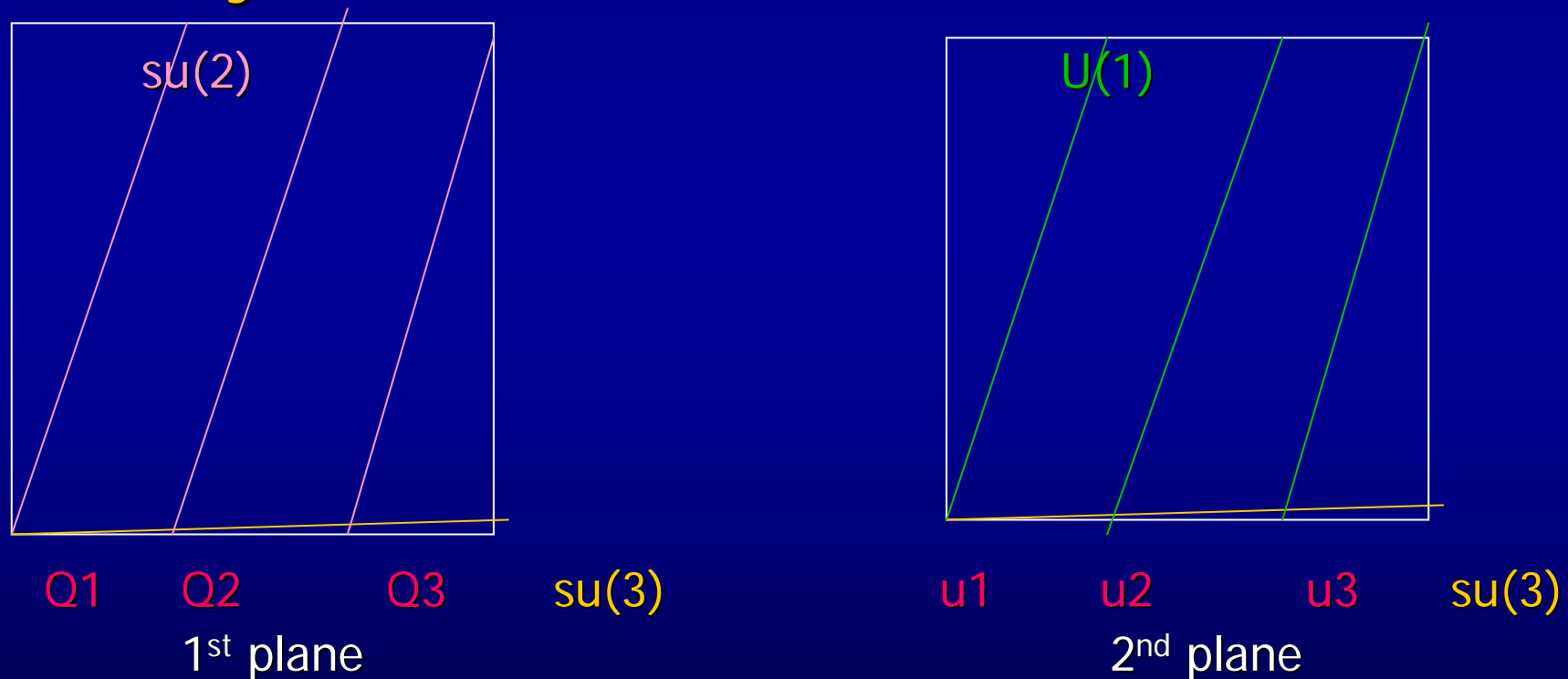
It is quite non-trivial to fit six observables only by two parameters.

We also have some reasoning of strongly suppressing neutrino Yukawa in Dirac neutrino mass scenario and deriving right-handed majorana neutrino mass proportional identity in the seesaw scenario.

3. Yukawa in intersecting D6 models

Origin of flavor

Family number = intersection number



Flavor structure

Flavor number = intersecting number

Model-dependent calculation

-> non-realistic Yukawa matrices,

We need to formulate model-independent analysis on selection rules for allowed Yukawa couplings like heterotic orbifold models.

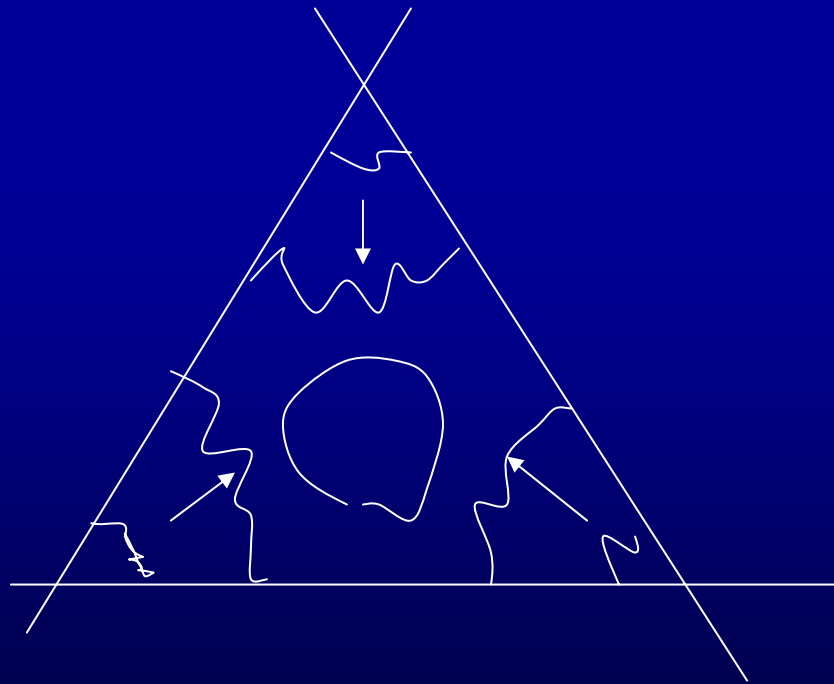
We would like to study somehow systematically flavor structure, which can be realized in intersecting D-brane configuration.

(work in progress with Higaki, Kitazawa, Takahashi)

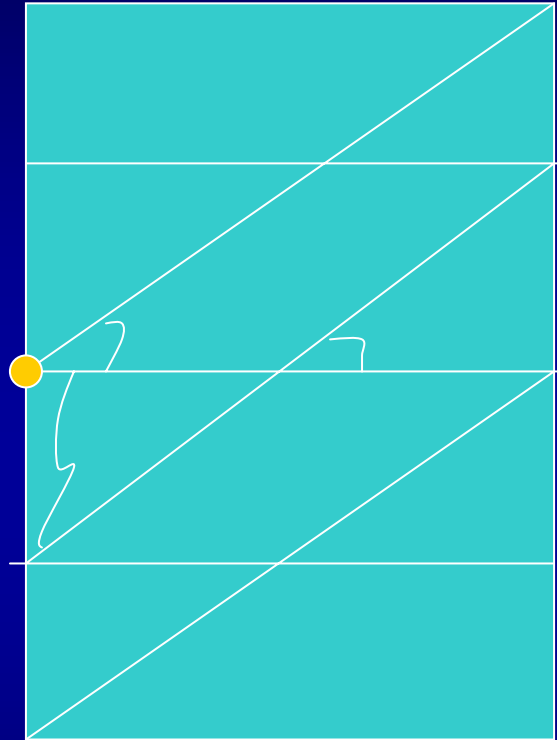
Coupling selection rule

Yukawa coupling is allowed when three twisted strings are combined into a closed string.

We have to consider the equivalence on torus.



Open string at intersecting D-brane



How can we describe open strings at different intersecting points and open strings equivalent up to torus ?

Intersecting points

Two D-brane D_a, D_b (winding numbers)

$$W_a = (n_a, m_a), \quad W_b = (n_b, m_b),$$

Intersecting number I_{ab}

Intersecting points

$$(k/I_{ab})W_a, \quad (\ell/I_{ab})W_b, \quad k, \ell = 0, 1, \dots, I_{ab} - 1$$

$$(k/I_{ab})W_a = (\ell/I_{ab})W_b + V_{ab}$$

Equivalent intersecting point sets with V_{ab} on lattice

Shift vectors represent intersecting points up to

$$V_{ab} = V_{ab} + k_a W_a + k_b W_b$$

$$\Lambda_{ab} = \{W_a, W_b\}, \quad \Lambda = \{(1,0), (0,1)\}$$

Example

Two D-brane D_a, D_b (winding numbers)

$$W_a = (1,0), \quad W_b = (1,3),$$

Intersecting number $I_{ab}=3$

Intersecting points

$$(k / 3) W_a, \quad k = 0, 1, 2$$

Shift vectors represent three intersecting points.

$$V_{ab} = (0,0), (0,1), (0,2), \quad \Lambda_{ab} = \{(1,0), (0,3)\},$$

Open strings and selection rule

End points of open string

$$X(\sigma = \pi) = X(\sigma = 0) + V_{ab}$$

Three sets of intersecting D-branes

$$W_a = (n_a, m_a), \quad W_b = (n_b, m_b), \quad W_c = (n_c, m_c),$$

Condition for Yukawa couplings among three open strings between Da-Db, Db-Dc and Dc-Da branes

$$V_{ab} + V_{bc} + V_{ca} = 0$$

$$\text{mod } \Lambda_{ab}, \Lambda_{bc}, \Lambda_{ca}$$

only diagonal couplings or off-diagonal couplings ?

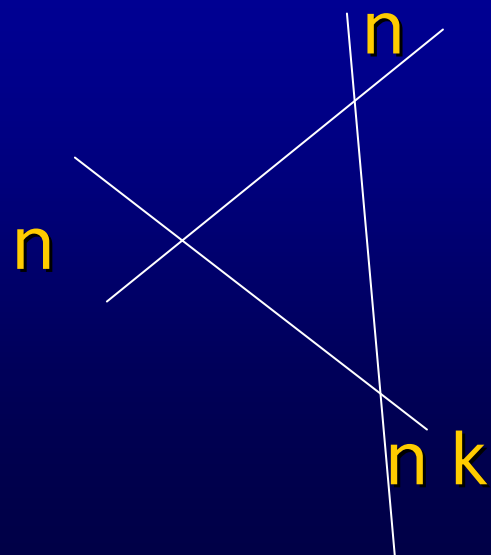
Flavor structure

Which types of flavor structure can be realized from intersection D-brane configurations ?

← theory of numbers, elementary vector analysis ?
infinite number of varieties ?

Left-right (n,n) symmetric generation on torus

→ the number of Higgs scalars = $n k$



for $k=1$

$$\Lambda_{ab} = \Lambda_{bc} = \Lambda_{ca}$$

diagonal couplings

Flavor structure

e.g. 3 families \rightarrow 3 Higgs

Left-handed Q $V_{ab} = (0,0), (0,1), (0,2)$

Right-handed Q $V_{bc} = (0,0), (0,1), (0,2)$

Higgs $V_{ca} = (0,0), (0,1), (0,2)$

$$V_{ab} + V_{bc} + V_{ca} = (0,0) \quad \text{mod} \quad (0,3)$$

Selection rule for Yukawa coupling \leftarrow Z3 symmetry
diagonal couplings like Z3 heterotic orbifold
models

Yukawa matrix

$$Y = \begin{pmatrix} H_0 & \varepsilon H_2 & \varepsilon H_1 \\ \varepsilon H_2 & H_1 & \varepsilon H_0 \\ \varepsilon H_1 & \varepsilon H_0 & H_2 \end{pmatrix}$$

Quark masses

$$(m_u, m_c, m_t) \propto (v_0^u, v_1^u, v_2^u)$$

$$(m_d, m_s, m_b) \propto (v_0^d, v_1^d, v_2^d)$$

Mixing angles

$$V_{12} : V_{13} : V_{23} = m_t / m_c : m_s / m_b : m_d / m_b$$

→ Not realistic

Result

We have formulated the selection rule for allowed Yukawa couplings.

Left-right symmetric 3 families

→ more than 3 Higgs → unrealistic mixing angles

We need another D-brane configuration.

We are considering left-right asymmetric D-brane configurations.

4-2. Composite approach

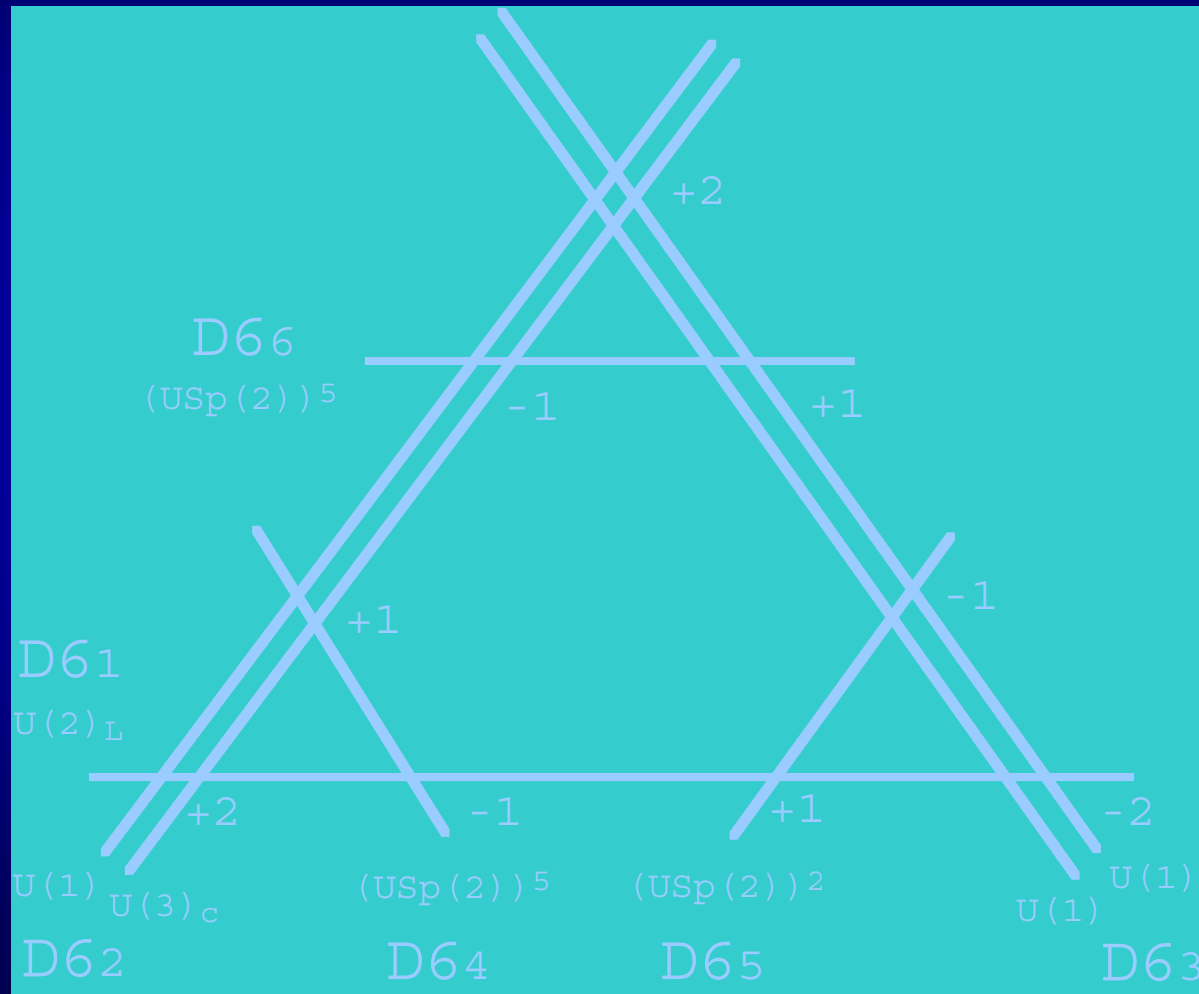
3-point couplings → unrealistic Yukawa
in intersecting D-brane (no mixing)

We need another approach.

How about composite approach ?

Composite model

Kitazawa, '04



(Bifundamental) matter

Intersecting number

SU(3) SU(2) -2 anti-generation

SU(3) USp(2)_a 1 C_a

SU(2) USp(2)_a 1 D_a

C_a D_a → Q_a (a=1,...,6)

Yukawa matrices

Kitazawa, T.K., Maru, Okada '04

$$Y_d = \begin{pmatrix} \varepsilon_3 & \varepsilon_1 \varepsilon_3 \\ 0 & \varepsilon_1 \end{pmatrix} \quad Y_u = \begin{pmatrix} \varepsilon_1 \varepsilon_3 & \varepsilon_1^2 \varepsilon_3 \\ 0 & 1 \end{pmatrix}$$

$$m_c / m_t = \varepsilon_1 \varepsilon_3, \quad m_s / m_b = \varepsilon_3 / \varepsilon_1, \quad V_{cb} = \varepsilon_3$$

$$\varepsilon_1 = 0.5, \quad \varepsilon_3 = 0.01 \rightarrow \textit{realistic}$$

4. Discrete flavor symmetry

Effective Yukawa

← higher dim. op. through symmetry breaking → small Yukawa

e.g. Froggatt-Nielsen

Symmetries are important to control higher dim. Op.

e.g. $U(2)$, S_3 , D_4 , A_4 , $U(1)$, Z_N ,

(Symmetrical approach)

What is the origin of these symmetries ?

Discrete Flavor Symmetry

What are their origins of discrete flavor symmetry like S_3 , D_4 , A_4 ?

They are symmetries of geometric solids.

So, they may be originated from geometry of extra dimensional space.

Actually, we have found explicit string models, whose three families = singlet + doublet under D_4 .

T.K., Raby, Zhang, '04

This is the first explicit models leading to D_4 flavor structure in string models.

4-1. D4 flavor

Our model (T.K., Raby, Zhang) is from Z_6 -II orbifold.

$$Z_6 = Z_2 * Z_3$$

The essence that our model leads to D4 flavor structure can be understood by the simplified extra dim. space, S^1/Z_2 .



There are two fixed points, on which two types of states exist.

In string models, these states are degenerate in massless spectra. These correspond to D4 doublets and bulk modes correspond to singlets.

D4 Flavor Symmetry

Stringy symmetries require that

Lagrangian has the permutation symmetry between

1 and 2, and each coupling is controlled by Z_2 symmetry.

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

D4 elements

$$\pm 1, \quad \pm \sigma_1, \quad \pm i\sigma_2, \quad \pm \sigma_3$$

Geometry of compact space

→ origin of finite flavor symmetry

Z6 example

Gauge shift $6V = (22200000)(11000000)$

Wilson lines $3W = (-11000000)(00200000)$

Wilson line $2W = (10000111)(00000000)$



Gauge group $SU(4) SU(2) SU(2)$ (hidden group)

Matter $3 [(4,2,1) + (\bar{4},1,2)] + (1,2,2) + \text{exotics}$

Pati-Salam model with 3 families + exotics

3 families = (D4 doublets + D4 singlets)

Electroweak higgs = D4 singlet

4-2 Yukawa matrices

As renormalizable couplings, the Yukawa couplings between one family (D_4 singlets) and the Higgs fields (D_4 singlets) are allowed.

The other diagonal entries are allowed, but such pattern is not realistic. Thus, we assume such entries are forbidden by extra symmetries.

At the renormalizable coupling level,

$$y = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & g \end{pmatrix}$$

In this model, there are singlets to generate the other entries through higher dim. operators with their VEVs.

Effective Yukawa from higher dimensional operators

Singlets can develop their VEVs S

These VEVs lead to effective Yukawa matrices

$$y = \begin{pmatrix} S^a & * & * \\ * & * & * \\ * & * & g \end{pmatrix}$$

We obtain non-trivial Yukawa matrices.

In general, the number of free parameters is large.

No prediction from only D4.

If we assume certain conditions on S, \dots

Summary

We have studied flavor structure in string models.

Heterotic orbifold models have possibilities for realizing realistic Yukawa matrices for quarks and leptons.

Other orbifolds ?

We have formulated selection rule of Yukawa couplings in intersecting D-brane models.

We have just started the classification of flavor structure derived from D-brane configurations.

We have just started how to derive non-abelian discrete flavor symmetries from string models.

Future study

How to stabilize moduli VEVs at proper values is an important issue to study.

Flavor structure \rightarrow (realistic) Yukawa matrices
Such flavor structure affects on SUSY breaking terms, e.g. scalar masses and A-matrices.

Each model would have certain pattern of SUSY breaking terms.

It is important to study such prediction on SUSY breaking terms.